



2132

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: **Bahrs et al.**

Serial No.: 09/430,861

Filed: October 29, 1999

For: **Method and Apparatus in a Data  
Processing System for the Separation  
of Role-Based Permissions  
Specification from its Corresponding  
Implementation of its Semantic  
Behavior**

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Group Art Unit: 2132

Examiner: **Gurshman, Grigory**

Attorney Docket No.: AUS990339US8

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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By:

*Amelia C. Turner*  
Amelia C. Turner

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PATENT TRADEMARK OFFICE  
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Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:  
ENCLOSED HEREWITH:

- Response to Office Action;
- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AN; and
- Our return postcard.

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

*Stephen J. Walder, Jr.*  
Stephen J. Walder, Jr.

Registration No. 41,534

Duke W. Yee

Registration No. 34,285

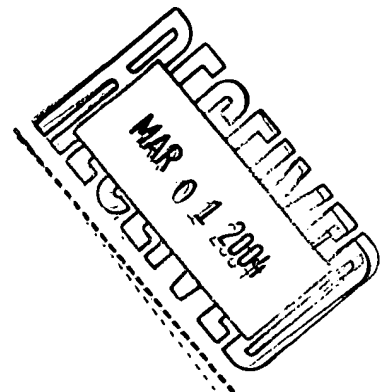
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37

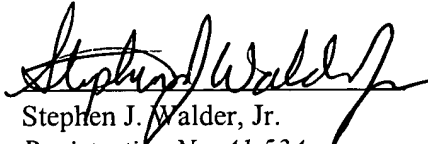
C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date:

*February 23, 2004*



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